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	2 2001	Application Number	09/684,706

## TRANSMITTA FRADE

(to be used for all correspondence after initial filing)

Application Number	09/684,706
Filing Date	October 4, 2001
First Named Inventor	David C. Gelvin
Group/Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	21200-702

Total Number of Pages in This Submission Attorney Docket Number 21200-702								
ENCLOSURES (check all that apply)								
Fee Attached  Amendment / Response  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Contact   Cont	nent Papers coplication) (3 pgs.) (s(s)  g-related Papers  Routing Slip (PTO/SB/69) companying Petition to Convert to a nal Application of Attorney, Revocation of Correspondence Address I Disclaimer ntity Statement for Refund		After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter  Additional Enclosure(s) (please identify below):  Declaration (5 pgs.)  Copy of Notice to File Missing Parts of Application (1 pg.)  Copy of Filing Receipt w/ marked changes (4 pgs.)  Submission of Formal Drawings to Correct Informalities (& Copy ) 4 pgs. w/ 2 Sheets of Formal Drawings for Figure 20 and 43				
SIGNATU	URE OF APPI	LICANT, ATTORNEY OR AG	ENT					
Firm or Individual name  Richard L. Gregory, Jr., Reg. No. 42,607, WILSON SONSINI GOODRICH & ROSATI  Signature  Date  02-02-01  Customer Number: 021971								
L hereby certify that this correspondence is bein		E OF EXPRESS MAILING						

CERTIFICATE OF EXPRESS MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C.								
	20231, on this date: Royumu 2, 2001 Express Mail Label EL682480130US							
Typed or printed name	Cindy Baglietto							
Signature	Judy Bashetto Date 2/2/2001							

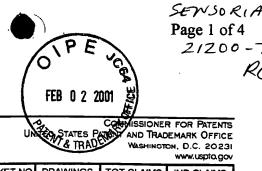
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

### REČEIVED

DEC 1 8 2000



#### <sup>11</sup> United States Patent and Trademark Office



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/684,706	10/04/2000	2152	0	21200-702	50	83	4

021971 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 943041050

FILING RECEIPT

\*OC000000005603868\*

Date Mailed: 12/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

David C. Gelvin, Residence Not Provided; Escondudo, CA.
Lewis D. Girod, Residence Not Provided; LDS Avacus, CA.
William J. Kaiser, Residence Not Provided; LDS Avacus, CA.
William M. Merrill, Residence Not Provided; LDS Avacus, CA.
Fredric Newberg, Residence Not Provided;
Gregory J. Pottie, Residence Not Provided;
Anton I. Sipos, Residence Not Provided;
Sandeep Vardhan, Residence Not Provided;

#### Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/158,013 10/06/1999 AND CLAIMS BENEFIT OF 60/170,865 12/15/1999 AND CLAIMS BENEFIT OF 60/208,397 05/30/2000 AND CLAIMS BENEFIT OF 60/210,296 06/08/2000

#### Foreign Applications

If Required, Foreign Filing License Granted 12/07/2000

Title

Apparatus for internetworked wireless integrated network sensors (WINS)

**Preliminary Class** 

709

Data entry by : ASGEDOM, GENET

Team : OIPE

FEB 0 2 2001 B Date: 507/2000

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15



#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF-REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

#### PLEASE NOTE the following information about the Filing Receipt:

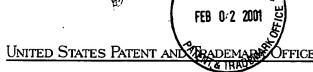
- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231











COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/684,706

10/04/2000

David C. Gelvin

21200-702

021971 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 943041050



Date Mailed: 12/07/2000

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1214.
  - \$1134 for 63 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 2054.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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12/7/00

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IN THE UNITED ND TRADEMARK OFFICE

Examiner:

2152

Not Yet Assigned

In re application of: David C. Gelvin, et al.

Serial No.: 09/684,706 Filed: October 4, 2000

For: Apparatus for Internetworked Wireless Integrated Network Sensors (WINS)

**Commissioner for Patents** Washington, D.C. 20231

#### COMPLETION OF FILING REQUIREMENTS —NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

#### DECLARATION OR OATH AND ASSIGNMENT

No declaration or oath was filed. Enclosed is the original declaration or oath for this application. An Assignment II. and Recordation Form Cover Sheet are also enclosed for this application.

#### **COMPLETION FEES**

1.	Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$ 710.00
2.	Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$ 1134.00
3.	Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$ 80.00
4.	Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$ 130.00
5.	Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$ 40.00</u>
	Total completion fees	\$2,094.00

#### **EXTENSION OF TIME**

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide III. for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. Fee Due: \$ 0

#### REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully IV. requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	David C. Gelvin, Escondido, CA., Lewis D. Girod, Los Angeles,
	CA., William J. Kaiser, Los Angeles, CA., William M. Merrill,
	Fredric Newberg, San Diego, CA., Gregory J. Pottie, Los Angeles,
	CA., Anton I. Sipos, Los Angeles, CA., Sandeep Vardhan, Walnut,
	CA.

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

#### TOTAL FEE DUE

V. The total fee due is: Completion fees \$ 2,094.00 Extension of Time Fees \$ 0 Corrected Filing Receipt Fees \$ 25.00

**TOTAL FEE DUE \$ 2,119.00** 

#### PAYMENT OF FEES

Charge Account No. 23-2415 (21200-702) in the amount of \$ 2,119.00. VI.

Please charge Account No. 23-2415 (21200-702) for any fees that may be due by this paper.

Wilson Sonsini Goodrich & Rosati 650 Page Mill Road Palo Alto, CA 94304 (650) 493-9300 Customer No. 021971

PTO/SB/17 (6/99)

ved for use through 09/30/2000. OMB 0651-0032 **1** 

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITT	ALPE
for FY 2000	<b>/</b> 0`

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entities and men 2001 otherwise large entity fees must be paid. See Form s PTO/SB/09-12. (\$) 2,115 (T& TRAD

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

Complete if Known pplication Number 09/09/684,706 Piling Date October 4, 2000 Is t Named Inventor David C. Gelvin Syaminer Name Not Yet Assigned roup/Art Unit 2152 21200-702 Attorney Docket Number

Account   23-2415 (Docket No. 21200-702)   Paid   Second   Secon	Deposit   Account		ME	THOD O	F PAYMI	ENT (check one)				FE	E CALC	ULATION (continued)	
Deposit   Number   23-2415   Docket No. 21200-702   See Paid   Code	Deposit   Account Number   23-2415 (Docket No. 21200-702)					3. Al	DDITION	AL FEI	ES				
Charge Any Additional Fee Required   Under 37 CFR §§ 1.16 and 1.17	Charge Any Additional Fee Required   127   50   227   25   25   25   25   25   25   2	Deposit Account						Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Account   Wilson Sonsini Goodrich & Rosati   127   50   227   25   25   25   25   25   25   2	Account   Wilson Sonsini Goodrich & Rosati   127   50   227   25   25   25   25   27   25   27   25   27   27	Deposit						105	130	205	65	-	130.00
Charge Any Additional Fee Required Under 37 CFR §\$ 1.16 and 1.17   112 920* 112 920*    12 920*	Charge Any Additional Fee Required Under 37 CFR \$\ 8\ 1.16 and 1.17	Account	Wilse	on Sonsi	ni Goodri	ch & Rosati		127	50	227	25		
Under 37 CFR §§ 1.16 and 1.17	Under 37 CFR §§ 1.16 and 1.17	Name	_					139	130	139	130	Non-English specification	
									•	147		For filing a request for reexamination	
Check	Check   Money Order   Other   115   110   215   55   Extension for reply within first month			muci 57 C	1 11 33 1.10	, and 1.17						Examiner action	
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11	The composition   Color   Co			FEE	CALCUL	ATION		116	390	216	195		
Large   Entity   Small   Entity   Fee   Description   Fee   Paid     118   1,390   218   695     Extension for reply within fourth month	Large   Fee   Fe	1. BASIC	C FILING	FEE				117	890	217	445		
128	128		_			Fee Description	Fee Paid	118	1,390	218	695		
106   320   206   160   Design filing fee	106   320   206   160   Design filing fee   120   310   220   155   Filing a brief in support of an appeal   107   490   207   245   Plant filing fee   121   270   221   135   Request for oral hearing   108   710   208   355   Reissue filing fee   138   1,510   138   1,510   Petition to institute a public use proceeding   140   110   240   55   Petition to revive - unavoidable   141   1,240   241   620   Petition to revive - unintentional-   142   1,240   242   620   Utility issue fee (or reissue)   142   1,240   242   620   Utility issue fee (or reissue)   143   440   243   220   Design issue fee   144   600   244   300   Plant issue fee   145							128	1,890	228	945		
107   490   207   245   Plant filing fee	107   490   207   245   Plant filing fee	101	710	201	355	Utility filing fee	710.00	119	310	219	155	Notice of Appeal	
108 710 208 355 Reissue filing fee	108	106	320	206	160	Design filing fee		120	310	220	155	Filing a brief in support of an appeal	
114	114   150   214   75   Provisional filling   140   110   240   55   Petition to revive - unavoidable   140   110   240   241   620   Petition to revive - unavoidable   141   1,240   241   620   Petition to revive - unavoidable   142   1,240   242   620   Utility issue fee (or reissue)   142   1,240   242   620   Utility issue fee (or reissue)   143   440   243   220   Design issue fee   144   600   244   300   Plant issue fee   145   120   130   122   130   Petitions related to provisional applications   123   50   123   50   Petitions related to provisional applications   126   240   126   240   Submission of Information Disclosure   126   240   126   240   Submission after final rejection (37 CFR 1.129(a))   149   710   249   355   For each additional invention to be examined (37 CFR 1.129(b))   140   18   210   9  **Reissue independent claims over original patent   140   110   240   241   620   Petition to revive - unavoidable   140   110   240   242   620   Utility issue fee (or reissue)   142   1,240   242   620   Utility issue fee (or reissue)   144   600   244   300   Plant issue fee   122   130   122   130   Petitions to the Commissioner   123   50   123	107	490	207	245	Plant filing fee		121	270	221	135	Request for oral hearing	
SUBTOTAL (1)   (\$)   710.00   141   1,240   241   620   Petition to revive - unintentional	SUBTOTAL (1) (\$) 710.00   141 1,240 241 620 Petition to revive - unintentional-  2. EXTRA CLAIM FEES  Subtra Claims  Fee from below  Fee Paid Claims  83 -20** = 63 x 18.00 = 1134.00   144 600 244 300 Plant issue fee   140 Plant issue fee   14	108	710	208	355	Reissue filing fee		138	1,510	138	1,510		
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Total Claims 83 -20** = 63 x 18.00 = 1134.00   143 440 243 220 Design issue fee   Independent Claims   14 -3** = 1 x 80.00   80.00   144 600 244 300 Plant issue fee   Independent   122 130 122 130 Petitions to the Commissioner   Independent	Extra Claims below Fee Paid  Total Claims 83 -20** = 63 x 18.00 = 1134.00   Independent 4 -3** = 1 x 80.00 = 80.00   Multiple Dependent    **or number previously paid, if greater; For Reissues, see below   Independent See Fee Fee Fee Fee Fee Fee Fee Fee For Reissues of 20   Independent See Fee Fee Fee Fee Fee Fee For Reissues of 3   Independent See Fee Fee Fee Fee Fee Fee Fee Fee Fee			St	J <b>BTOTA</b> I	L (1) (\$)	710.00	141	1,240	241	620	Petition to revive - unintentional	
Independent Claims  4 -3** = 1 x 80.00 = 80.00  Multiple Dependent  **or number previously paid, if greater; For Reissues, see below  Large Entity Small Entity Fee Description Fee Fee Fee Fee Code (S) Code (S)  103 18 203 9 Claims in excess of 20  102 80 202 40 Independent claims in excess of 3  104 270 204 135 Multiple dependent claim, if not paid  109 80 209 40 **Reissue independent claims over original patent  100 18 210 9 **Reissue claims in excess of 20 and over original patent  **Reissue claims in excess of 20 and over original patent  144 600 244 300 Plant issue fee  122 130 122 130 Petitions to the Commissioner  Petitions related to provisional applications  123 50 123 50 Petitions related to provisional applications  126 240 126 240 Submission of Information Disclosure Stmt  127 Submission of Information Disclosure Stmt  128 129 240 Recording each patent assignment per property (times number of properties)  129 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))  120 249 355 For each additional invention to be examined (37 CFR 1.129(b))  120 Request for Corrected Filing Receipt  129 0ther fee (specify)  120 0ther fee (specify)  120 0ther fee (specify)  121 30 122 130 122 130 Petitions to the Commissioner  122 30 123 50 Petitions related to provisional applications  125 240 126 240 Submission of Information Disclosure  126 240 126 240 240 Recording each patent assignment per property (times number of properties)  120 240 126 240 240 Recording each patent assignment per property (times number of properties)  120 240 126 240 126 240 Submission of Information Disclosure  120 240 126 240 126 240 Submission of Information Disclosure  120 240 126 240 126 240 Submission of Information Disclosure  121 3 50 123 50 70 Petitions related to provisional applications  123 50 123 50 Petitions related to provisional applications  126 240 126 240 126 240 Submission of Information Disclosure  128 129 240 126 240 126 240 126 240 Submission of Information Disclosure  129 240 240 240 240 240 240 240 240	Independent Claims 4 -3** = 1 x 80.00 = 80.00 144 600 244 300 Plant issue fee  Multiple Dependent =	2. EXTR.	A CLAIM	1 FEES	Extra Cl		Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)	
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Registration No. Name (Print/Type) 650-493-9300 42,607 TelephoneRichard L. Gregory, Jr. (Attorney/Agent) Signature Date Customer No. 021971 02-02-01

Burden Hour Statement: This form is estimated take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



Attorney Docket No.: 21200-702

#### IN THE UNITED STATES PATERS AND TRADEMARK OFFICE

In re Patent Application of	)	PATENT APPLICATION
	)	
David C. Gelvin, et al.	) ,	Group Art Unit: 2152
	)	
Application No.: 09/684,706	)	
•	)	Examiner: Not Yet Assigned
Filed: October 4, 2000	)	
	)	
Title: Apparatus for Internetworked Wireless	)	
Integrated Network Sensors (WINS)	)	
	)	

#### **SUBMISSION OF FORMAL DRAWINGS TO CORRECT INFORMALITIES**

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Attn: Official Draftsman

Sir:

Enclosed please find two (2) sheets(s) of corrected formal drawings to correct the informalities in Figure 20 and Figure 43 which were filed on October 4, 2000 with the above-referenced patent application. Should the enclosed drawings require changes, it is respectfully requested that the Patent and Trademark Office notify the undersigned attorney of same.

The Commissioner is authorized to charge any additional fees which may required by this paper or to credit any overpayment to Deposit Account No. 23-2415 (Docket No. 21200-702). This paper is submitted in duplicate.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Date: 02-02-01

Richard L. Gregory, Jr. Registration No. 42,607

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